



April 23, 2021

National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No. C/1, 'G' Block,  
Bandra-Kurla Complex,  
Bandra (East)  
Mumbai – 400 051

Dear Sir,

**Ref: TATA CAPITAL FINANCIAL SERVICES LIMITED**  
**Sub: Compliance Certificate for the half year ended March 31, 2021 under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulations 7(2) and 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all the activities in relation to share transfer facility for the Non-Convertible Debentures issued to the Public by Tata Capital Financial Services Limited are maintained by Kfin Technologies Private Limited (CIN – U72400TG2017PTC117649) having its Registered Office at Selenium Building, Tower B, Plot No 31 & 32, Financial District, Nanakramguda, Serilingampally Rangareddi, Hyderabad – 500 032, the Registrar and Transfer Agent.

We request you to please take the same on record.

**For Tata Capital Financial Services Limited    For Kfin Technologies Private Limited**

**SONALI  
BHUSHAN  
PUNEKAR**

Digitally signed by SONALI BHUSHAN  
PUNEKAR  
DN: c=IN, o=Personal,  
pseudonym=be516e6c3a6b0bbe79186c3b  
a8ec0aac29dc66395b4615dcbad69a05bd1  
0aee2, postalCode=400080,  
st=MAHARASHTRA,  
serialNumber=46694088e0393978bdf4beb  
ca3ac26cf69bb5b760743b1c27e9e1c6a4dd  
591ba, cn=SONALI BHUSHAN PUNEKAR  
Date: 2021.04.23 11:47:14 +05'30'

**Sonali Punekar**  
**Company Secretary**

Thanking you,  
For KFintech Private Limited

Chandrasekhar Allada  
Deputy Manager



**Chandra Sekhar Allada**  
**Deputy Manager - Bonds**

**TATA CAPITAL FINANCIAL SERVICES LIMITED**

Corporate Identity Number U67100MH2010PLC210201

11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013

Tel 91 22 6606 9000 Web [www.tatacapital.com](http://www.tatacapital.com)

Registered Office 11th Floor Tower A Peninsula Business Park Ganpatrao Kadam Marg Lower Parel Mumbai 400 013



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Dear Sir,

**Ref: TATA CAPITAL FINANCIAL SERVICES LIMITED**  
**Sub: Compliance Certificate for the half year ended March 31, 2021 under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulations 7(2) and 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all the activities in relation to share transfer facility for the Non-Convertible Debentures issued on a private placement basis by Tata Capital Financial Services Limited are maintained by TSR Darashaw Consultants Private Limited (CIN – U74999MH2018PTC307859) having its Registered Office at C-101, 1<sup>st</sup> Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli West, Mumbai 400083, the Registrar and Transfer Agent.

We request you to please take the same on record.

**For Tata Capital Financial Services Ltd**

**SONALI  
BHUSHAN  
PUNEKAR**

**Sonali Punekar  
Company Secretary**

Digitally signed by SONALI BHUSHAN PUNEKAR  
DN: c=IN, o=Personal,  
pseudonym=be516e6c3a6b0bbe79186c3ba8ec0  
aac29dc66395b4615dcbad69a05bd10aee2,  
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serialNumber=46694088e0393978bdf4bebc3ac  
26cf69bb5b760743b1c27e9e1c6a4dd591ba,  
cn=SONALI BHUSHAN PUNEKAR  
Date: 2021.04.23 11:46:10 +05'30'

**For TSR Darashaw Consultants Private Ltd**

**Vidya Brahme  
Chief Manager – MCA**

**TATA CAPITAL FINANCIAL SERVICES LIMITED**

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